

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re:	Pagan et al.	Confirmation No.:	9056
Appl. No.:	09/458,602	Art Unit:	2135
Filed:	December 8, 1999	Examiner:	Klimach, Paula W.
For:	SYSTEMS AND METHODS FOR DYNAMIC AUTHORIZING, AUTHENTICATING AND ACCOUNTING USERS HAVING TRANSPARENT COMPUTER ACCESS TO A NETWORK USING A GATEWAY DEVICE		

**Filed Via USPTO E-Filing**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 along with a copy of any cited foreign patent documents and non-patent literature documents in accordance with 37 CFR 1.98(a)(2).

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

Respectfully submitted,



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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

## **Complete if Known**

Application Number 09/458,602  
Filing Date December 8, 1999  
First Named Inventor Florence C.I. Pagan  
Group Art Unit 2135  
Examiner Name Paula W. Klimach  
Attorney Docket Number 042253/190999

## **U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No	Document Number Number - Kind Code (if known)	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	1	US-5,113,499	05/1992	Ankney et al.	
	2	US-5,517,622	05/1996	Ivanoff et al.	
	3	US-5,612,730	03/18/1997	Lewis	
	4	US-5,623,601	04/1997	Vu, Hung T.	
	5	US-5,742,668	04/1998	Pepe et al.	
	6	US-5,864,610	01/26/1999	Ronen	
	7	US-5,950,195	09/07/1999	Stockwell et al.	
	8	US-5,968,176	10/19/1999	Nessett et al.	
	9	US-6,161,139	12/2000	Win et al.	
	10	US-6,130,892	10/2000	Short et al.	
	11	US-6,226,752	05/2001	Gupta et al.	
	12	US-6,317,790	11/2001	Bowker et al.	
	13	US-6,385,653	05/2002	Sitaraman et al.	
	14	US-6,502,131	12/2002	Vaid et al.	
	15	US-6,598,167	07/2003	Devine et al.	
	16	US-6,681,330	01/2004	Bradford et al.	
	17	US-6,785,730	08/2004	Taylor, Rebecca S.	
	18	US-6,856,676	02/2005	Pirot et al.	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
	19	EP 0 762 707	03/12/1997	Telia AB		
	20	WO 98/16044	04/16/1998	Mitel Corporation		
	21	EP 0 909 073	04/14/1999	Lucent Technologies, Inc.		
	22	WO 99/57866	11/11/1999	Auric Web Systems		
	23	WO 99/66400	12/23/1999	Livingstone Enterprises, Inc.		
Examiner Signature				Date Considered		

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	2	of	2	Application Number	09/458,602
				Filing Date	December 8, 1999
				First Named Inventor	Florence C.I. Pagan
				Group Art Unit	2135
				Examiner Name	Paula W. Klimach
				Attorney Docket Number	042253/190999

**OTHER DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
	24	USG Product Timeline, Nomadix, Inc., 2701 Ocean Park Blvd., Suite 231, Santa Monica, California 90405	
	25	Universal Subscriber Gateway, Nomadix, Inc., 2701 Ocean Park Blvd., Suite 231, Santa Monica, California 90405	
	26	SCHOEN et al., Convergence Between Public Switching and the Internet, published September 21, 19997 in <i>World Telecom Congress Proceedings</i> , pp. 549-560	
	27	CISCO, <i>Single-User Network Access Security TACACS+</i> , March 30, 1995; 9 Pages; Cisco White Paper; XP002124521	
	28	D BRENT CHAPMAN, ELIZABETH D. ZWICKY, <i>Building Internet Firewalls</i> ; November 1995; Pages 131-188; O' Reilly; XP002202789	
	29	SUSAN HINRICHS; <i>Policy Based Management Bridging the Gap</i> ; December 6, 1999; Pages 209-218; Computer Security Applications Conference, 1999 (ACSAC 1999), Proceedings 1 <sup>st</sup> Annual, Phoenix, Arizona, USA, December 6-10, 1999, Los Angeles, California; IEEE Comput. Soc; XP010368386	
Examiner Signature			Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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